



**Position: Senior Compliance Services Consultant**

## **About CSS**

CSS is a trusted global RegTech partner that uniquely brings together innovative technology-driven solutions to support financial services firms in navigating a clear and strategic path through the complex and fragmented global regulatory space. Our solutions and services help firms meet regulatory deadlines while optimizing compliance data, operations, and technology. CSS covers a full range of global compliance disciplines spanning fund reporting, transaction reporting, investment monitoring, compliance management, compliance services and managed services with a complementary, centralized approach to the strategic management of regulatory data called RBOR (Regulatory Book of Record). For more information on CSS, please visit: [www.cssregtech.com](http://www.cssregtech.com).

## **About the role**

Working under general direction, lead and participate in client service engagements, including development of compliance programs, risk assessments, registrations and filings, annual compliance reviews, and other services for investment advisers and/or broker dealers. While primarily assigned to a practice area, client engagements can cross other practice areas to facilitate exposure across client base and broaden expertise.

## **About the team**

Within CSS, the Compliance Services team is responsible for the outsourced delivery of compliance program management and services to asset managers. The team works closely with other departments to deliver exceptional service to clients, while gathering understanding of clients' needs and communicating it back to the software development team to help guide the strategic development of the complimentary software offerings.

## **Responsibilities**

- Deliver critical compliance services to clients, including annual reviews, risk assessments, marketing reviews and regulatory filings, and other compliance services
- Ensure timely completion of client deliverables, while coordinating the work of other consultants
- Develop and apply subject matter expertise in compliance topics
- Generate white papers, Compliance Casts, presentations and other content

- Contribute to promoting Firm's profile as a thought leader through participation on conference panels and industry groups
- Participate with sales team in business development efforts, including proposals and contract specification of contract deliverables
- Other duties and projects as assigned

## **Requirements**

- 7+ years' experience in investment management industry, with particular emphasis in compliance, either as a practitioner, consultant or regulator
- Experience with private fund managers and CFTC regulations
- Expert understanding of the relevant securities laws and rules
- Excellent written and verbal communication skills
- Bachelor's degree and other advanced degree, or an equivalent combination of experience, education and/or training

For immediate consideration, send your CV/Resume to: [careers@cssregtech.com](mailto:careers@cssregtech.com)

Please include the job title in the subject line of your e-mail.

***CSS is an equal opportunity employer***